

FINANCIAL SERVICES PROVIDER

Business details

Provider's Business Name	: Dotport Capital (Pty) Ltd
Provider's Trading Name	: Dotport Capital (Pty) Ltd
Registration number (if any)	: 1997/006386/07
FSP Licence Number	: 15250
Postal address	: PO Box 213, Southdowns, 0123
Physical address	: 5D Southdowns Office Park, Karee st, Centurion
Telephone number	: 086 137 7709
Fax number	: 086 100 0368
Website	: www.dotport.co.za
E-mail	: info@dotport.co.za

Legal and contractual status

The Financial Service Provider is a Private Company with limited liability.

The provider accepts responsibility for its own actions and for the actions of any of the following representatives that may be performed by them while acting within the course and scope of their employment with the provider

- Alta Struwig
- Nanouchka Spiegelhoff
- Michael Mills

Compliance department

Please note that our compliance contact is Mr. N Wessels at 011 794 1189.

Financial services for which authorised

In terms of the licence issued to the provider by the Registrar, the provider is authorised to provide financial services in respect of the following Category I of financial products:

- Long-term Insurance: Category A
- Short-term Insurance: Personal Lines
- Long-term Insurance: Category B1
- Long-term Insurance: Category B2
- Long-term Insurance: Category B2-A
- Long-term Insurance: Category B1-A
- Short-term Insurance: Personal Lines A1
- Structured Deposits
- Participatory Interest in a Hedge Fund
- Long-term Insurance: Category C
- Retail Pension Benefits
- Short-term Insurance: Commercial Lines
- Pension Fund Benefits (excluding retail)
- Participatory Interests in Collective Investment Schemes
- Health Service Benefits
- Short-term Deposits

Professional indemnity

- The provider does hold professional indemnity insurance.
- The provider does not hold guarantees.
- The provider does not hold fidelity insurance.

Product Suppliers

You are hereby notified that the provider is a properly accredited and lawfully appointed intermediary of Momentum, Investec, Sanlam, BCI, Discovery, Liberty, Old Mutual, Stratum, Cura, Brolink, Bidvest Life, Bonitas, Bestmed, Capital Legacy, Hollard, Brightrock and PPS.

Conflict of Interest

Our conflict of interest policy is available on request by means of email, post or at our offices.

The provider does not directly or indirectly hold more than 10% of the product supplier's shares. The provider does not directly or indirectly hold any equivalent substantial financial interest in the product supplier.

Dotport Capital (Pty) Ltd (FSP 15250) and Dotport (Pty) Ltd (FSP 725) are 100% subsidiaries of Dotvest (Pty) Ltd. Fees earned by Dotport (Pty) Ltd are disclosed in the official fund fact sheets and fees earned by Dotport Capital (Pty) Ltd are disclosed in the official quotation.

Complaint Resolution System and Procedures

Please note that we have established a written internal complaint resolution system with detailed procedures. Access to the written procedures and a copy of the complaint resolution system is available to clients at this office during office hours each day. Should you have any complaint, this must be submitted to us in writing and must contain all relevant information. Copies of all relevant documentation must be attached thereto.

Signing of incomplete documents

You are hereby advised and cautioned that no person acting on behalf of the provider may in the course of the rendering of a financial service request you to sign any written or printed form or document unless all details required to be inserted thereon by you or on your behalf have already been inserted.

Responsibility for Correctness and Completeness of Information

Please note that in respect of any application, proposal, order, instruction or other contractual information that is required to be completed for, or submitted to a product supplier by or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto, all material facts must be accurately and properly disclosed, and the accuracy and completeness of all answers, statements or other information provided by you or on your behalf are your own responsibility;

If any person completes or submits any application, proposal, order, instruction or other contractual information that is required to be completed for, or submitted to, a product supplier by you or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto on your behalf, you should be satisfied as to the accuracy and completeness of the details.

Any misrepresentation or non-disclosure of a material fact or the inclusion of incorrect information could result in the cancellation of the transaction or the non-payment of a benefit by the product supplier

Waiver of Rights

You are hereby advised that no representative of the provider or any other person may ask you or offer any inducement to you to waive any right or benefit conferred on you by or in terms of any provision of the General Codes of Conduct. A copy of the Code of Conduct is available on request.

Custody of Financial Products and Funds

You are hereby advised that the FSP does not and will not receive or hold financial products or funds of or on behalf of a client.